

# NECESSARY CONDITION ON A SOLUTION FOR THE STEINER TREE PROBLEM WITH RECTILINEAR DISTANCE

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## ABSTRACT

The Steiner tree problem is one of the oldest topic of mathematical and it is attentioned of reseachers. This paper is concerned with the following type of Steiner 's problem: "Given  $n$  points in the plane find the shortest tree(s) whose vertices contain these  $n$  points". Usually, the roads are straight – line connections and the distance between two points is the Euclidean distance. In this paper, however, the rectilinear distance is used. Rectilinear distance has application in printed circuit technology where  $n$  electrically common points must be connected with the shortest possible length of wire and the wires must run in the horizontal and vertical directions. Several necessary conditions are given for any  $n$ .

**Keyword:** rectilinear distance, enclosing rectangle, additional vertice, grid, transferre point.

## 1. INTRODUCTION

The Steiner problem is a problem that is used a lot in practice such as construction: "For the city, build a transport network with the shortest total length so that a tourist can go from city to city. another street. The road may go outside the city limits and there are intersections called junctions. The intersections were added to reduce the total length of the traffic network. ". Or application in circuit technology: " Give points on a power board connected by power lines so that the total length is shortest".

However, in the second example we see that the power lines in the table can only run horizontally or vertically, this is an example of the Steiner problem with rectangular distances. Therefore, to solve the above practical problems, in this paper, we consider the Steiner problem in terms of rectangular distance. In other words, the lines connecting the given points in the problem are the perpendicular folds going vertically and horizontally. From there, give some conclusions about the solution of the problem in this case.

## 2. PRELIMINARIES

**Definition 1.** The rectilinear distance  $d(p_1, p_2)$  between two points  $p_1$  and  $p_2$  is difined as:

$$d(p_1; p_2) = |x_1 - x_2| + |y_1 - y_2|$$

where  $p_1(x_1, y_1), p_2(x_2, y_2)$

**Definition 2.** The enclosing rectangle: Given  $n$  points in the plane the enclosing rectangle is the smallest rectangle whose sides are parallel to the Ox and Oy and which includes then points either within or on its boundary.

**Definition 3.** In the plane, two points (or two vertex)  $p_i; p_j$  are adjacent if they have an edge in common. We let

$C(p_j)$  be the set of vertices adjacent to  $p_i$  .

**Definition 4.** The local degree of the vertex  $p_i$  : We let  $w(p_i)$  be the local degree of the vertex  $p_i$ , that is, the number of vertices adjacent to  $p_i$ .

**Definition 5.** The inner rectangle  $R_1$ : Give  $n$  points in the plane  $p_i(x_i, y_i); i=1, \dots, n$  where  $\{x_i\}$  and  $\{y_i\}$  in increasing order. Then by drawing lines parallel to the  $y$  – axis through  $x_2, x_3, \dots, x_{n-1}$  and lines parallel to the  $x$  – axis through  $y_2, y_3, \dots, y_{n-1}$ , this defines, in general,  $k$  points which we call  $c_1, c_2, \dots, c_k$  ( $k \leq (n-2)^2$ ). The rectangle which has these  $k$  points at its corners is called the inner rectangle  $R_1$ .

**Definition 6.** Give  $n$  points in the plane  $p_i (i=1, \dots, n)$  with the inner rectangle  $R_1$ . Consider the quadrants  $U_{c_i}$ , exterior to  $R_1$ , formed by extended lines of  $R_1$  and each of the  $c_i$ . If there is a points  $p_j$  in a quadrant  $U_{c_i}$ , then we say that  $p_j$  is transferred to the point  $c_i$ .

To solve the Steiner problem, we give two problems  $P_n, T_n$  have been solved.

$P_n$  : Given  $n$  points  $p_1, p_2, \dots, p_n$  in the plane, find a points  $q$  such that the sum of the distances from  $q$  to  $p_i, i=1, 2, \dots, n$ , is minimum.

$T_n$  : Given  $n$  points in the plane, find the shortest tree whose vertices are these  $n$  points.

### 3. NECESSARY CONDITIONS ON A SOLUTION FOR STEINER PROBLEM

#### 3.1 The Steiner problem with 3 points

**Theorem 3.1.** In the plane, given 3 points  $p_1, p_2, p_3$ , let  $(x_i, y_i), i=1, 2, 3$  be the coordinates of them. The  $q$  – point of  $P_3$  is located at  $(x_m, y_m)$  where  $x_m$  and  $y_m$  are the medians of  $\{x_i\}$  and  $\{y_i\}$ , respectively.

Let  $d_{S_3}, d_{P_3}, d_{T_3}$  be the total rectilinear distance in the solutions of  $S_3, P_3, T_3$ , respectively.

**Theorem 3.2.** The solutions of  $S_3$  and  $P_3$  are identical, in fact

$$d_{S_3} = d_{P_3} = \frac{1}{2} P(R) \leq d_{T_3}$$

Where  $P(R)$  is the perimeter of the enclosing rectangle. The equality sign holds only if exists  $q \equiv p_i$ ,  $(x_m, y_m) = (x_i, y_i)$  for some  $i=1, 2, 3$ .

#### Proof

Case 1: Three points  $p_1, p_2, p_3$  are collinear points, respectively.

By Theorem 3.1,  $q$  point is in the straight line connecting those points and  $p_1, p_3$  is at vertex of rectangle  $R$ .

Because  $d_{S_3} = d_{P_3} = \frac{1}{2} P(R)$ ,

$$\sum_{i=1}^3 (|x_m - x_i| + |y_m - y_i|) = |x_3 - x_1| + |y_3 - y_1|$$

$p_1, p_2, p_3, q$  are in the straight line then  $x_m = x_2, y_m = y_2$ . Hence  $p_2$  is the median of the segment connecting  $p_1, p_2, p_3, q$ . The solutions of  $S_3$  and  $P_3$  are identical, the minimum tree solution to  $S_3$  have zero additional vertex; in fact,

$$d_{S_3} = d_{P_3} = \frac{1}{2} P(R) = d_{T_3}$$

Case 2: Three points  $p_1, p_2, p_3$  aren't collinear points.

For  $d_{S_3} = d_{P_3}$ ,  $d_{S_3} = \sum_{i=1}^3 d(q, p_i)$  with  $q$  is the median point of the triangle  $p_1 p_2 p_3$  (by theorem 3.1). Hence  $q$

is vertex in the minimum tree solution to  $S_3$ .

Since  $d_{P_3} = \frac{1}{2} P(R)$  there must exist two points  $p_i (i=1,2,3)$  lie on the boundary of  $R$  and  $q$ - point lies inside  $R$ .

Without loss of generality, assume that  $p_1$  lies at vertex of  $R$ .

$$\begin{aligned} d_{S_3} &= |x_1 - x_q| + |x_2 - x_q| + |x_3 - x_2| + |y_1 - y_q| + |y_2 - y_q| + |y_3 - y_2| \\ &= \frac{1}{2} P(R) \\ &= |x_2 - x_1| + |y_3 - y_1| \end{aligned}$$

Therefore  $q$  is additional vertex in the minimum tree solution to  $S_3$ .

This completes the proof of theorem 3.2. The fact that the minimum tree solution to  $S_3$  can have either zero or one additional vertex. See Fig 1

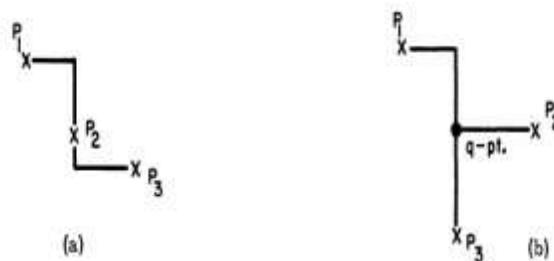


Fig. 1

### 3.2. Necessary conditions on a solution to $S_n$

We use some notations:

$p_i$  are the given  $n$  points ( $i = 1, \dots, n$ ), are called  $p$ -vertices and  $q_i, i = 1, \dots, k$ , are the additional  $k$  vertices in the solution  $G$  of  $S_n$ , are  $q$ -vertices in the solution  $G$  of  $S_n$ . We let:

$$P = \{p_i, i = 1, \dots, n\}; Q = \{q_i, i = 1, \dots, k\}$$

When we speak of a vertex  $a_i, i = 1, \dots, n+k$ , we mean either  $p_i$  or  $q_i$ . We let  $C(a_i)$  be the set of vertices adjacent to  $a_i$ ,  $w(a_i)$  be the local degree of the vertex  $a_i$ , that is, the number of  $C(a_i)$ .

**Necessary conditions on a solution to  $S_n$ :**

- i)  $w(q_i) = 3$  or  $4, 1 \leq i \leq k$
- ii)  $1 \leq w(p_i) \leq 4, 1 \leq i \leq n$
- iii)  $0 \leq k \leq n-2$

**Proof.**

Condition (i)  $w(q_i) = 3$  or  $4, 1 \leq i \leq k$

The first, we proof  $w(q_i) = 3$

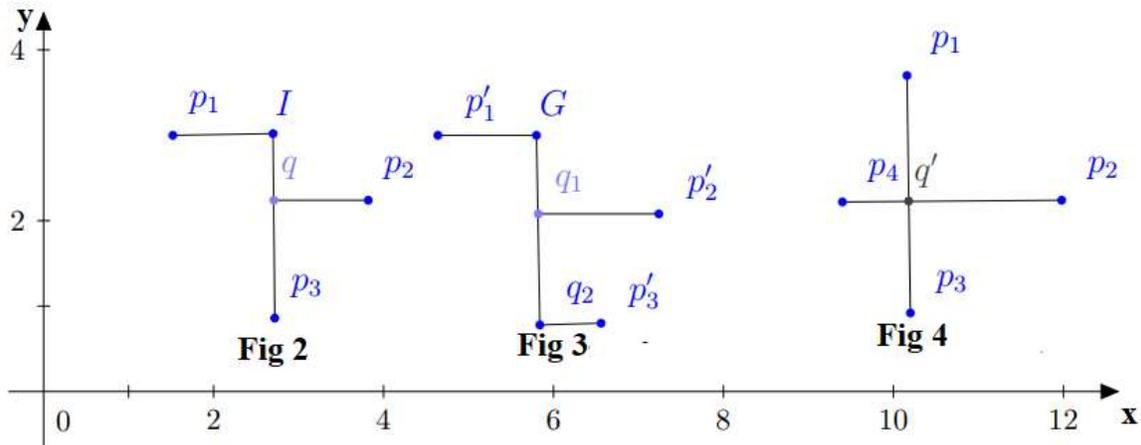
$q_i$  are the additional  $k$  vertices in the solution  $G$  of  $S_n$ , so  $q_i$  lie on the edges of  $G$ , these straight lines are parallel to the  $x$  and  $y$  axes. Besides, a straight line connecting at least two points, so that  $q_i$  adjacent with 2  $p$ -vertices, we call them  $p_1$  and  $p_2$ .

Then,  $q_i$  can adjacent 3  $p$ -vertices ( see figure 2)

Or  $q_i$  can adjacent 2  $p$ - vertices and 1  $q$ - vertices. (see figure 3)

We proof  $w(q_i) = 4$

When two pairs of  $C(q_i)$  must be collinear and  $q_i$  is at the intersection of the straight lines connecting those pairs. (see figure 4)



Condition (ii)  $1 \leq w(p_i) \leq 4, 1 \leq i \leq n$

The first,  $p_i$  is one of the given  $n$  points and at the vertex of  $G$ , so  $p_i$  must adjacent with at least one vertex line on the same edge.. Therefore,  $w(p_i) \geq 1$ .

The second,  $p_i$  can adjacent with at most four vertices when  $p_i$  is at the intersection of two edges of  $G$ . Therefore,  $w(p_i) \leq 4$ .

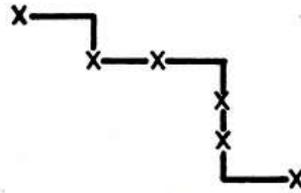
Condition (iii)  $0 \leq k \leq n-2$

In the case,  $w(q_i) = 3 (1 \leq i \leq k)$

The number edges of  $G$  which have at least one  $q$ - vertices is  $3k$ . The other side, the  $q$ - vertices form a subtree with  $(k-1)$  edges. Since each edge counts twice in the total degree of the  $q$ - vertices,

$$\begin{aligned} n &\geq 3k - 2(k-1) \\ &\Leftrightarrow n \geq k + 2 \\ &\Leftrightarrow k \leq n - 2 \end{aligned}$$

Since  $d_{S_n} \geq \frac{1}{2}P(R)$ , in the example shown in figure 5,  $d_{S_n} \geq \frac{1}{2}P(R)$ , we have found a minimum tree with  $k = 0$ . Then the solution  $G$  of  $S_n$  hasn't the additional vertices.



**Fig 5**

**Theorem 3.3.** If  $q$  is any  $q$  – vertex of  $G$  with degree three, then  $q$  can be the only vertex of  $G$  inside the enclosing rectangle  $R$  of  $C(q)$ .

#### 4. CONCLUSIONS

Nowadays, the Steiner problem with rectangular distances is widely used in life such as construction, IC technology. To solve this problem the most important thing is to identify the additional  $q$ -vertices, also known as Steiner points. In this paper, exact solution are constructed for  $n=3$  and we have given several necessary conditions on the solution to  $S_n$ . This is an important basis for solving problems with  $n > 4$ .

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